

## Continuity of Care: Tracking Patients Across Health Plans and Clinical Settings

#### Basic Information and Some Examples of Applications

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#### **Disclosures**

- I am an employee of Kaiser Permanente
  - All opinions expressed are my own
  - But I do think we (KP) do a pretty darn good job at this!
- I will not be discussing any medications during my talk
  - Except to say that most are too expensive and pharma needs to lower their prices!
- I am not a health informaticist!



#### **Setting the Stage**

- Describe Kaiser Permanente
  - a) Nationally
  - Kaiser Permanente Mid-Atlantic States (where most of my examples come from)
- 2. Our data systems
  - a) The "front end"—what our health care system professionals and patients see
  - b) The "back end"—and how we can make it all fit together data-wise
- 3. How the data systems mesh together
  - a) Within KP
  - b) With multiple systems

#### **Kaiser Permanente (KP)**

- Our Mission: To provide high-quality, affordable health care services and to improve the health of our members and the communities we serve
- Integrated delivery system (hospitals, clinicians, pharmacies, lab, x-ray, etc.) and financing
- Operates like a mini-"national health system"
  - Single funding stream with global budget
  - Accountable for total health of a population



- Non-profit
- Prepaid
- Integrated
- Caring for our communities

KP defines the integrated model of health care financing and delivery through its unique partnership among hospitals, health plan, and medical group: *contractual* and *exclusive* 

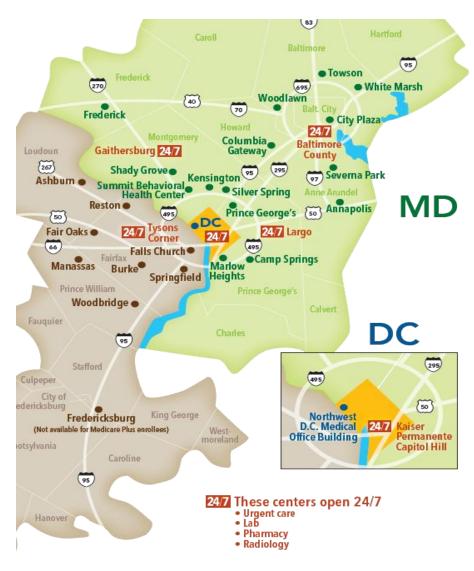




Kaiser Permanente is one of the nation's largest not-for-profit health plans, serving over 10 million members in eight states and the District of Columbia



#### **Fast Facts: KP Mid-Atlantic**



- Cover much of Maryland,
   Washington, DC, and Northern
   Virginia
- >670,000 members
- Over 1,300 Mid-Atlantic Permanente Medical Group physicians
- About 6,000 employees
- 30+ medical facilities
  - Hub and spoke → 5 hubs
- Core hospitals at which KPMAS physicians directly care for members
- 24 hours / 7 days / 365 days care available
- Fully supported by Comprehensive electronic health record (EHR)



#### The KP Model—Technology Driven

**Kaiser Permanente model:** Highly coordinated care through state-of-the-art technology and the area's largest multi-specialty physician group practice

Labs

Inpatient

**Outpatient** 

**Emergency** 

**Pharmacy** 

**Imaging** 

**Immunization** 

Membership

Financial & Benefits

#### KP Health Connect

Secure Web-Based Universal Access

**Real Time** 

**Linked to Delivery System** 

**Electronic Ordering** 

**Digital Imaging** 

**Secure Messaging** 

**KP.org and My Health Manager** 

#### Population Management Tools

Disease registries

**Risk stratification** 

Identification of subgroups needing care

Patient management tools

Targeted panel lists

Inreach- Prompts, reminders for clinicians

Outreach- Letters and automated telephone outreach to members

Monitoring and process improvement measures and reports



#### First Key Concept—The Medical Record Number

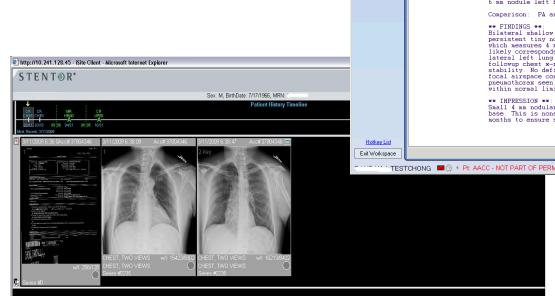
- All is based on the patient's Medical Record Number (MRN)
  - Uniquely derived number for each patient
  - Is NOT related to any patient attribute intentionally (i.e., SSN, date of birth, gender, etc.)
  - BUT is considered as group A PHI (same as name, SSN)
  - Requires patients to know this number too!
- However, MRN is not coordinated across KP regions
  - Thus, patients can have multiple MRN
  - MAJOR LIMITATION (especially for linking patients across regions)
  - Further, without SSN, cannot get most death data
  - Or easily link outside of KP
- NOTE: Other systems use SSN, DOB, etc.
  - However, potential disclosure and HIPAA rules

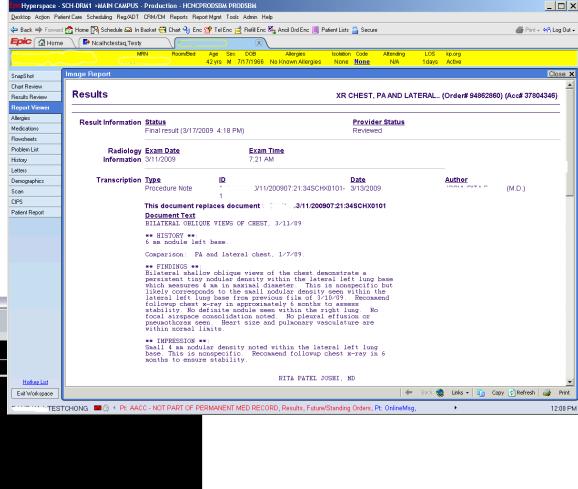


#### **KP HealthConnect Our EHR—The "front end"**

 From any computer with an internet connection, our physicians can view x-rays (or any other radiology image) with the member moments after the film is taken.

Epic®-based







#### kp.org—our patient website—also "front end"

- •From any computer with an internet connection, Kaiser Permanente members can:
  - Email their Permanente doctor's office
  - Including their doctor
  - Schedule appointments
  - Fill prescriptions
  - View lab test results
  - Print immunization records
  - View own medical record
  - Get their list of medications
- •Nationwide, millions of Kaiser Permanente members are using this convenient, time-saving technology.

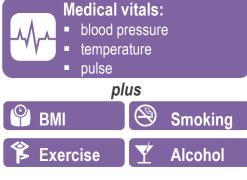


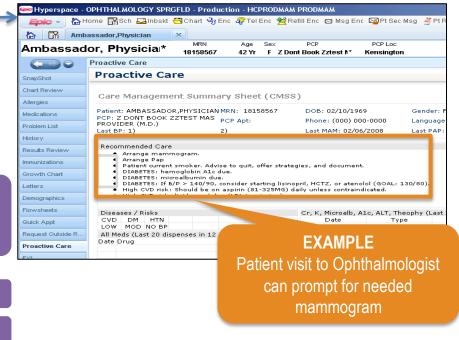


#### Population Health Built-in: The Front End

#### Automatic prompts at every visit in every department

- Care Gap Identification
  - Immediate electronic action / order placement / booking to address
  - Systematized workflows / Smart Sets
- Document the Right Info







#### Population Health Built-in: The Back End

All members with a chronic condition are automatically "enrolled" in disease management programs.

Population health tools allow us to identify members in need of outreach. The program is owned by the member's primary care team, not a 3<sup>rd</sup> party.

#### Disease registries

- Not opt in or out
- Algorithms
- Physician definition
- Enriched with clinical data, not simply claims

#### Search/Query on demand

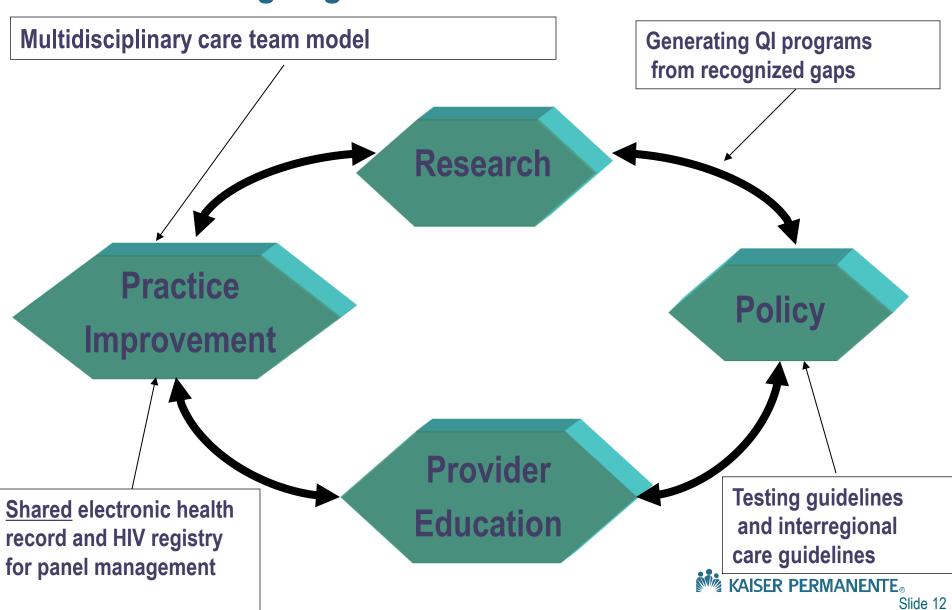
- Each PCP has access
- Drive outreach calls, letters, email

#### 

#### Robust Health Ed tools

- Classes, Coaches, etc.
- Complete Care Journal

## KP HIV Overall Program Strategy: ...as a learning organization

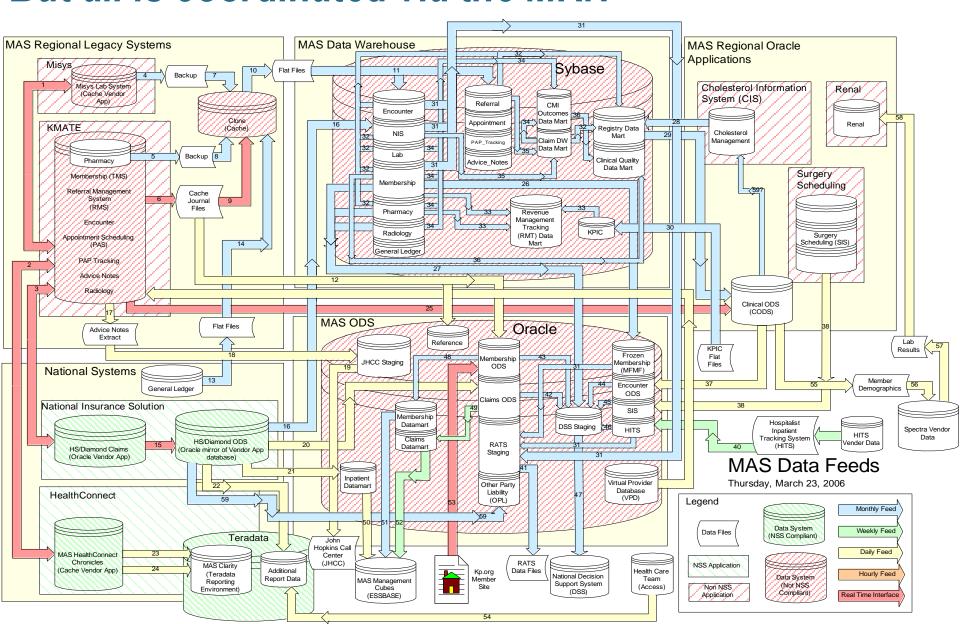


#### So, Data Coordination is Key

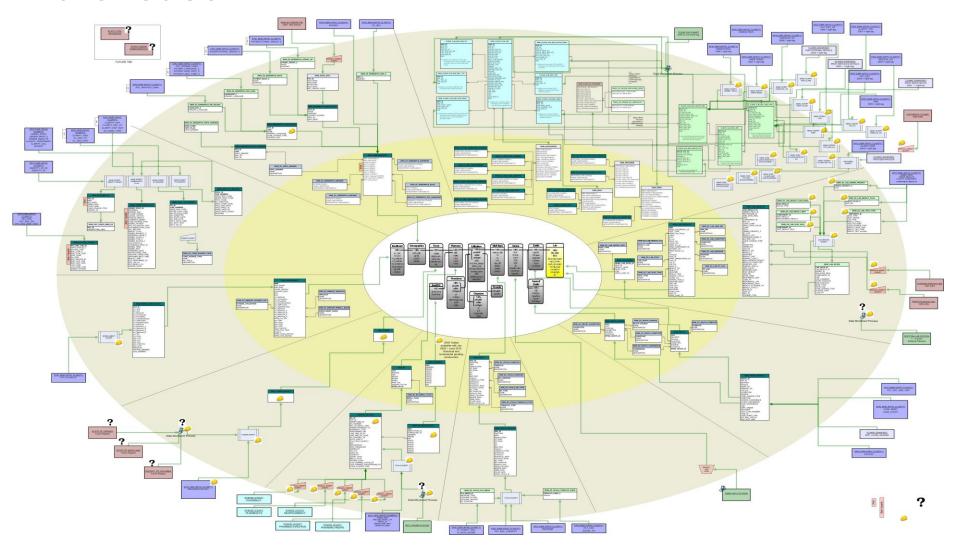
- Demonstrate "garbage in, garbage out.
- Data Management is Complex
- No such thing as a simple data request.
- Data is time consuming, and requires expertise.
  - Administrators don't get this...



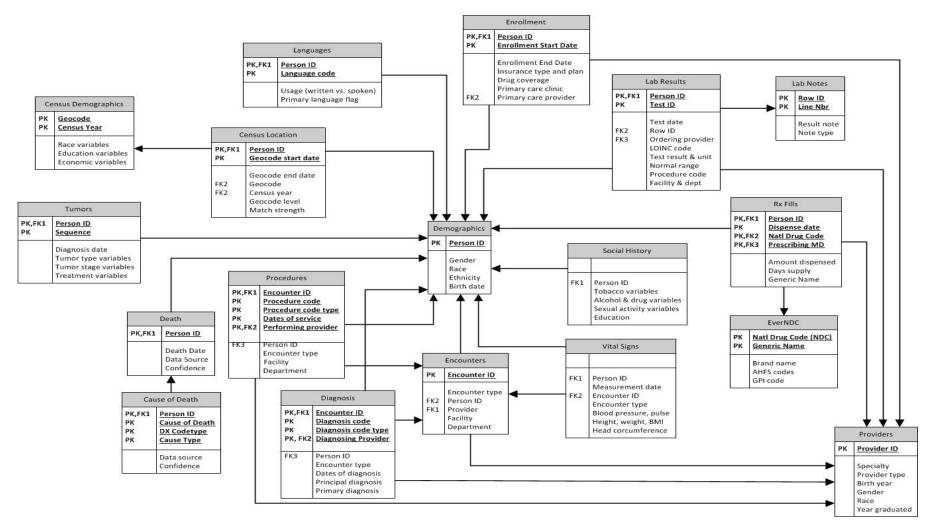
## Why Even Simple Data Requests are Complex But all is coordinated via the MRN



## Development of KPMAS Data Warehouse: Example in Effort; Each Region Has Own "Data Warehouse"

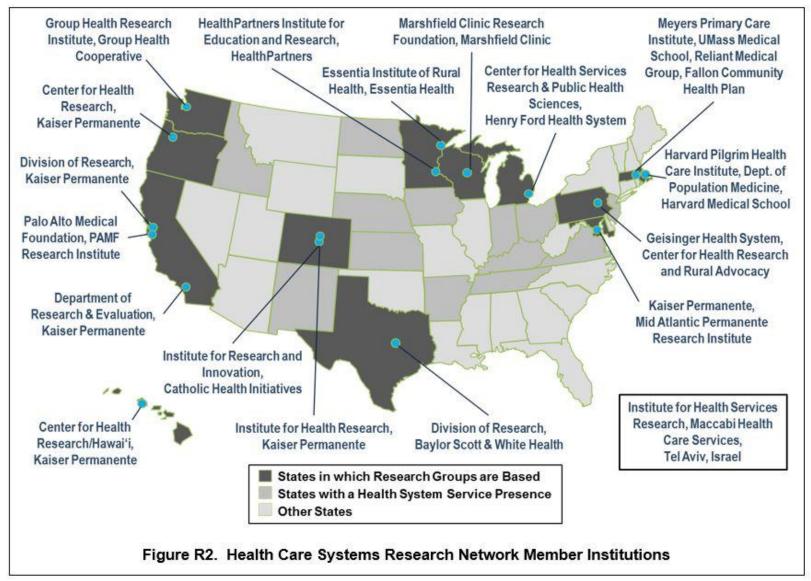


## HCSRN Virtual Data Warehouse The Analyst's Toolkit—But again, the MRN is key!



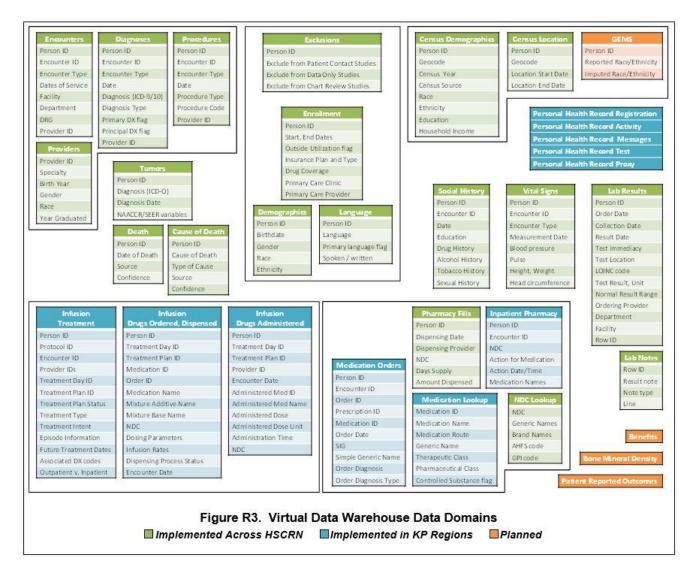


## But We Can Also Coordinate this Across Systems--HCSRN



# The Virtual Data Warehouse Used by all members of KP and HCSRN

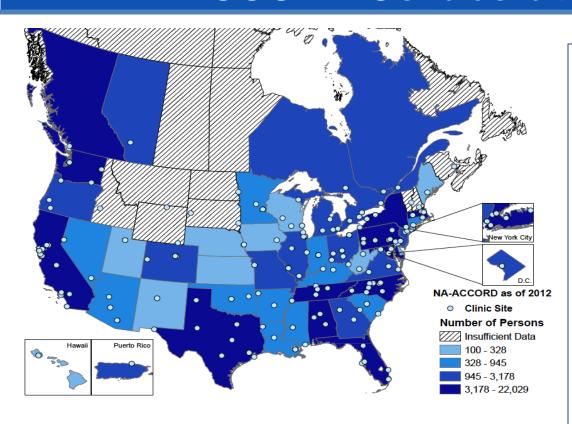
# How we do such research



Note that there are other models, including PCORnet Common Data Model Similar principles for NA-ACCORD



#### NA-ACCORD Collaboration



Slides courtesy of Richard Moore, JHM

- 130,000 HIV-infected persons in the cohort; >1 million person-years of follow-up time; reflects the North American epidemic demographically
- Productive collaboration:
  - Over 60 national and international presentations
  - Over 40 papers published
  - 10 other federal grants using this resource

25 Collaborating Cohorts in Canada and US (>200 sties)
Participants from: 47 US states and D.C., 1 US territory,
and 5 Canadian Provinces

#### NA-ACCORD Data Elements

- Demographic
- Clinical
  - Clinical diagnoses
  - Laboratory
  - Medications
  - Procedures (some)
  - Hospitalization and Ambulatory visits
  - Health Insurance
- Cause of death
- Data transmitted from each participating cohort to a central data core, data transmitted in a standardized fashion, combined with data from other cohorts for analyses

## Some Examples of How We Use This—Back End and Front End

#### Mid-Atlantic Permanente Research Institute

 Our mission is to advance medical knowledge and improve the quality of care and health of our patients and communities we serve by conducting innovative scientific and clinical research.

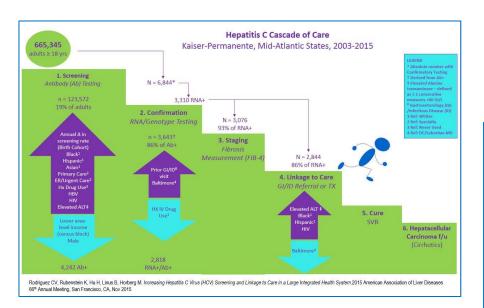
■ ORIGINAL RESEARCH & CONTRIBUTIONS

Expanding Access to Care and Improving Quality in the Mid-Atlantic States
Safety-Net Clinics: Kaiser Permanente's Community Ambassador Program

Jared Lane K Maeda, PhD, MPH; Jacqueline J Bradley, MSN, CRNP; Sarah R Eissler, MSN, CPNP; Marcia LoBrano, MD, MPH;
Mindy R Rubin; Maritha Gay; Michael A Horberg, MD, MAS, FACP, FIDSA; Bernadette C Loftus, MD

Perm J 2015 Spring;19(2):22-27

http://dx.doi.org/10.7812/TPP/14-109





AIDS PATIENT CARE and STDs Volume 29, Number 11, 2015 Mary Ann Liebert, Inc. DOI: 10.1089/apc.2015.0139

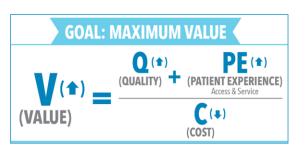
CLINICAL AND EPIDEMIOLOGIC RESEARCH

The HIV Care Cascade Measured Over Time and by Age, Sex, and Race in a Large National Integrated Care System

Michael Alan Horberg, MD, MAS, <sup>1-3</sup> Leo Bartemeier Hurley, MPH, <sup>3,4</sup> Daniel Benjamin Klein, MD, <sup>3,5</sup> William James Towner, MD, <sup>3,6</sup> Peter Kadlecik, MD, <sup>1,3</sup> Diana Antoniskis, MD, <sup>3,7</sup> Miguel Mogyoros, MD, <sup>3,8</sup> Philip Sigmund Brachman, MD, <sup>3,9</sup> Carol Louise Remmers, PhD, <sup>10</sup> Rebecca Claire Gambatese, MPH, <sup>10</sup> Jackle Blank, MBA, <sup>2,3</sup> Courtney Georgiana Ellis, BS, <sup>3,4</sup> and Michael Jonah Silverberg, PhD, MPH, <sup>3,4</sup>

#### How does MAPRI contribute to the Value Equation?

- 1. Study quality measurement and quality improvement
  - Examples include HIV and HCV
- 2. Provide access to clinical trials
  - Internalizing care
  - Gaining access to the latest in medical care
  - Improve the care for these patients
- 3. Study new programs in care
  - Studying the ongoing implementation of HCV screening and early treatment pathway
  - Studied our new "Exchange" patients
  - Sickle cell transitions program
    - Improving Transitions from Pediatrics to Adult Heme-Onc
- 4. Registry Development with Intentional Clinical Applications
- Monitoring Drug Safety
  - "Sentinel" work with FDA
  - Raltegravir Study (with TPMG and SCPMG)





#### How We're Working with KPMAS Daily

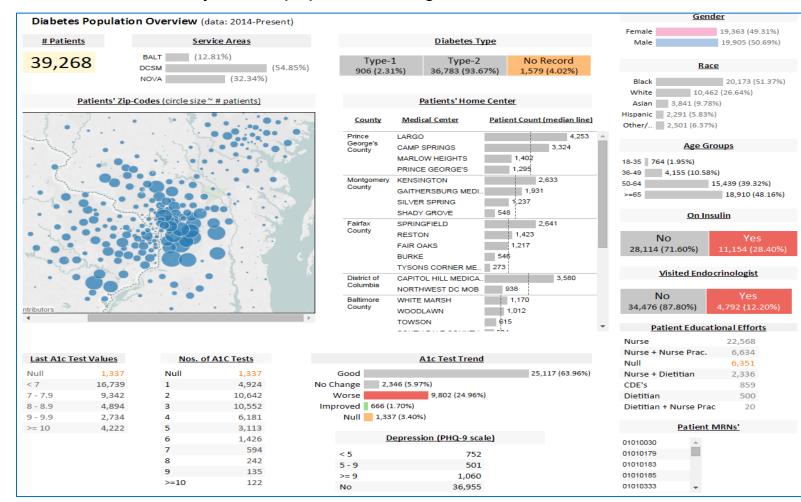
#### Registry Work:

- Use of Tableau enhanced HIV physician reports is helping to shape data driven care
- Development of Clinical Disease Registries are being used by operations for targeting patients for case management
- Working with Population Care
   Management to develop enhanced
   Diabetes registry--in progress
- Development of CKD, COPD, HCV, HBV, Sickle Cell Registries—all with clinical component and provider reports
  - Including HCV reports for clinical pharmacy

- Disease Registries within MAPRI
  - HIV
  - HCV
  - HBV
  - CKD and ESRD
  - COPD
  - CHF
  - Congenital Heart Disease
  - Rheumatoid Arthritis
  - Sickle Cell
  - Tumor
- In Development
  - Asthma
  - Diabetes
  - Cirrhosis

#### How We're Working with KPMAS Daily (2)

- Data Driven Visual Analytics
  - Development of basic query tools and analytics for physician leaders
  - Introduce novel Visual Analytics for population insights

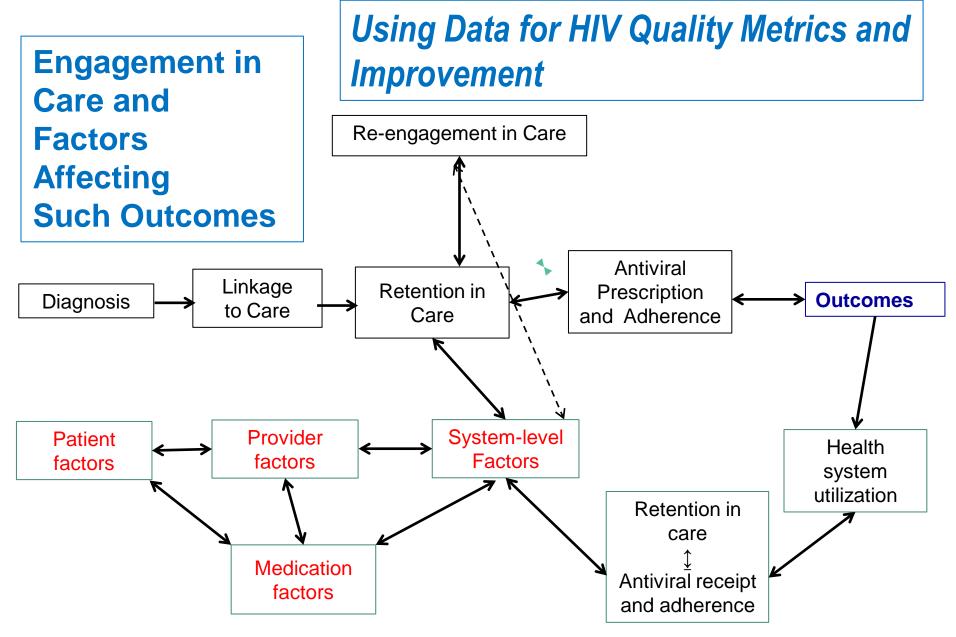


## Interactive HIV Physician Centric Actionable Dashboard



- To improve physician performance
- Quickly identify and close care gap





Adapted from Mugavero, 2011



| Odds Ratio    | Control of the control of            | roup: ≥2 i  | n-person visits   | annually   |                                 |  |  |  |  |  |
|---------------|--------------------------------------|---|---|--|---------------------------------|--|--|--|--|--|
| Odds Ratio    |                                      |   |   | Reference group: ≥2 in-person visits annually  |                                 |  |  |  |  |  |
|               | 95% CI                               | p-Value   | Odds Ratio  | 95% CI   | p-Value                         |  |  |  |  |  |
| 0.48          | (0.31, 0.75)                         | < 0.01  | 0.48  | (0.30, 0.74)   | <0.01                           |  |  |  |  |  |
| 0.47          | (0.28, 0.78)                         | < 0.01  | 0.46  | (0.28, 0.78)   | < 0.01                          |  |  |  |  |  |
| 0.88          | (0.59, 1.30)                         | 0.51  | 0.81  | (0.54, 1.21)   | 0.29                            |  |  |  |  |  |
| 1.16          | (0.69, 1.95)                         | 0.57  | 1.06  | (0.63, 1.80)   | 0.82                            |  |  |  |  |  |
| isions due to | missing lab va                       | alues in 20°  | 14  | 105-101/2009 15/2/2009   |                                 |  |  |  |  |  |
|               | 0.47<br>0.88<br>1.16<br>sions due to | 0.47 (0.28, 0.78)<br>0.88 (0.59, 1.30)<br>1.16 (0.69, 1.95) | 0.47 (0.28, 0.78) <0.01<br>0.88 (0.59, 1.30) 0.51<br>1.16 (0.69, 1.95) 0.57<br>isions due to missing lab values in 20 | 0.47 (0.28, 0.78) <0.01 0.46<br>0.88 (0.59, 1.30) 0.51 0.81<br>1.16 (0.69, 1.95) 0.57 1.06<br>isions due to missing lab values in 2014 | 0.47     (0.28, 0.78)     <0.01 |  |  |  |  |  |

- 1 in-person visit only per year is insufficient to achieve viral suppression at rates similar to 2 or greater in-person visits annually (OR=0.48, p<0.01), even if supplemented by a telephone visit (OR=0.46, p<0.01).</p>
- However, 1 in person plus e-mail alone (OR=.81, p=0.29) or e-mail plus telephone (OR=1.06, p=.82) was associated with similar HIV viral suppression as 2 in-person visits.

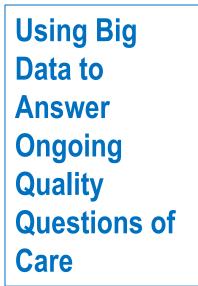
|                                  | Unadjusted Analysis |              |             | Adjusted Analysis |              |         |  |
|----------------------------------|---------------------|--------------|-------------|-------------------|--------------|---------|--|
|                                  |                     | Reference gr | oup: 2 in-p | erson visits o    |              |         |  |
|                                  | Odds Ratio          | 95% CI       | p-Value     | Odds Ratio        | 95% CI       | p-Value |  |
| 2 In-Person + Telephone only     | 1.33                | (0.78, 2.27) | 0.30        | 1.28              | (0.75, 2.21) | 0.37    |  |
| 2 In-Person + E-mail only        | 1.82                | (1.10, 3.00) | 0.02        | 1.57              | (0.94, 2.63) | 0.09    |  |
| 2 In-Person + Telephone + E-mail | 1.53                | (0.93, 2.53) | 0.09        | 1.35              | (0.80, 2.25) | 0.26    |  |

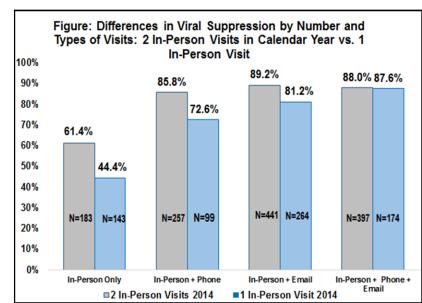
Among the subset of patients with at least 2 in person visits, supplementing with telephone and/or email was associated with a greater odds of viral suppression compared with 2 in person visits only, although results did not reach statistical significance.

### How often do patients need to be seen?

 Resetting the standard definition of retention in care

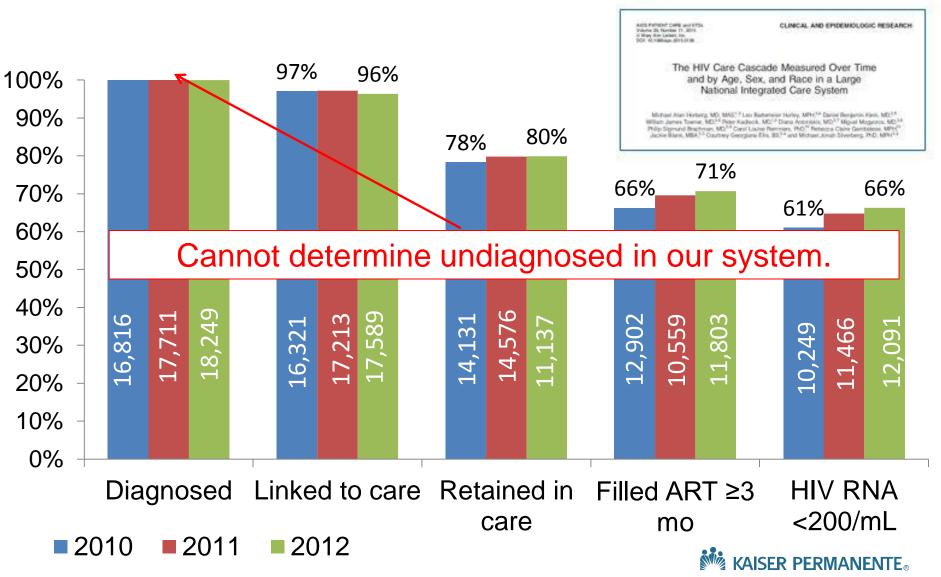
Horberg, Blank, Rubenstein, Kadlecik, et. al., "Differences in HIV Viral Suppression by Frequency and Type of Healthcare Visits," *CROI 2016*, Boston, MA, February, 2016





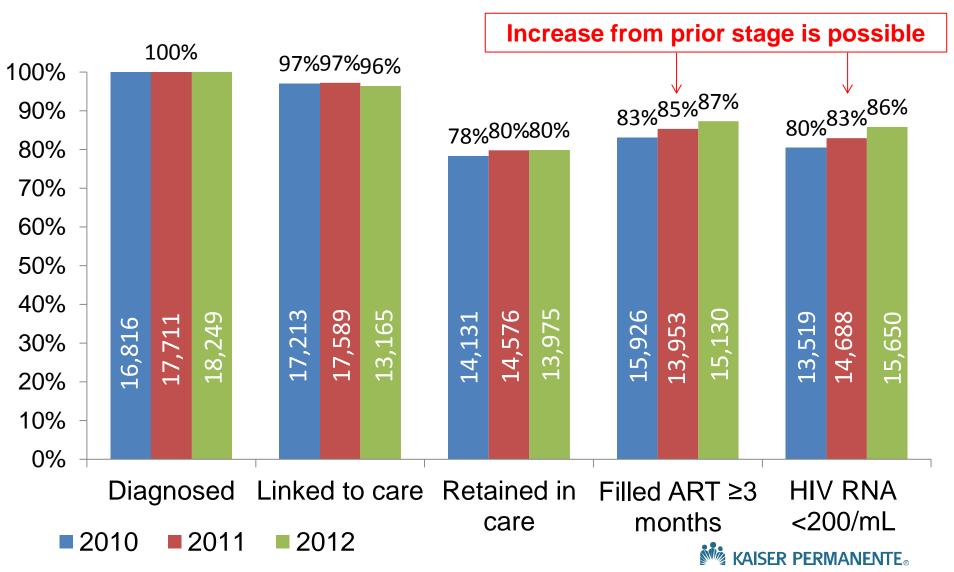
#### **KP HIV Care Cascade 2010-2012**

Subsequent stage is **dependent** on prior stage

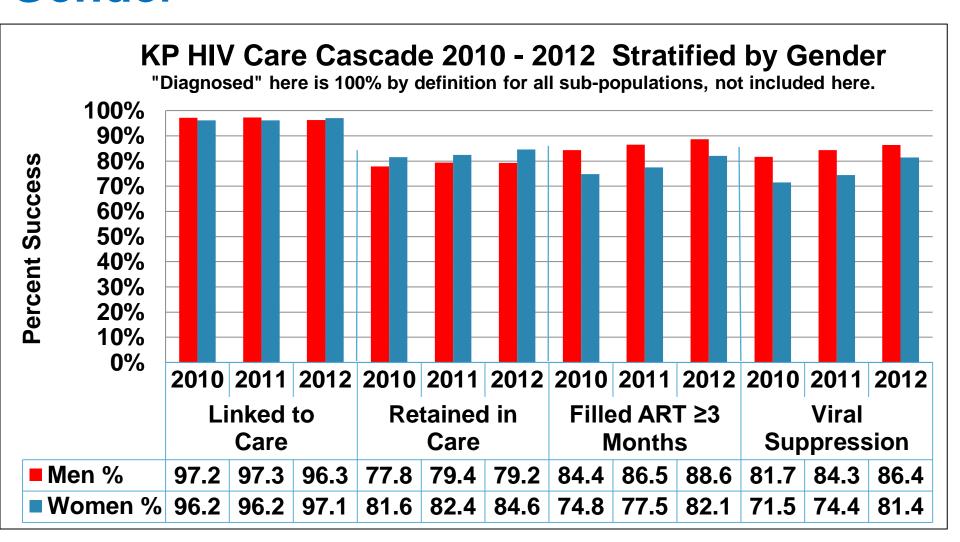


#### **But Methodology Matters!**

Subsequent stage is **NOT dependent** on prior stage

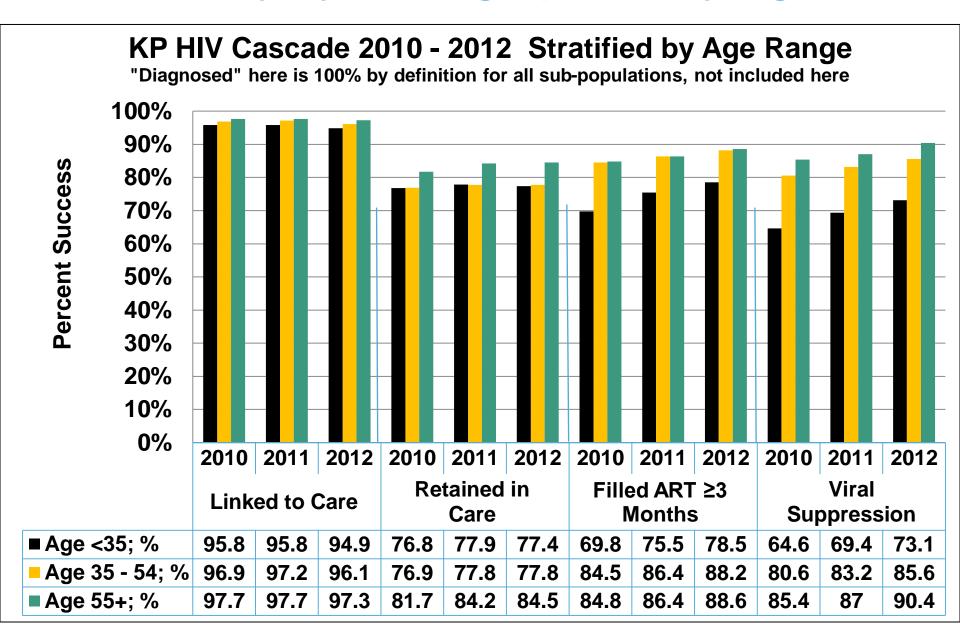


## Can Stratify by Demographics: by Gender



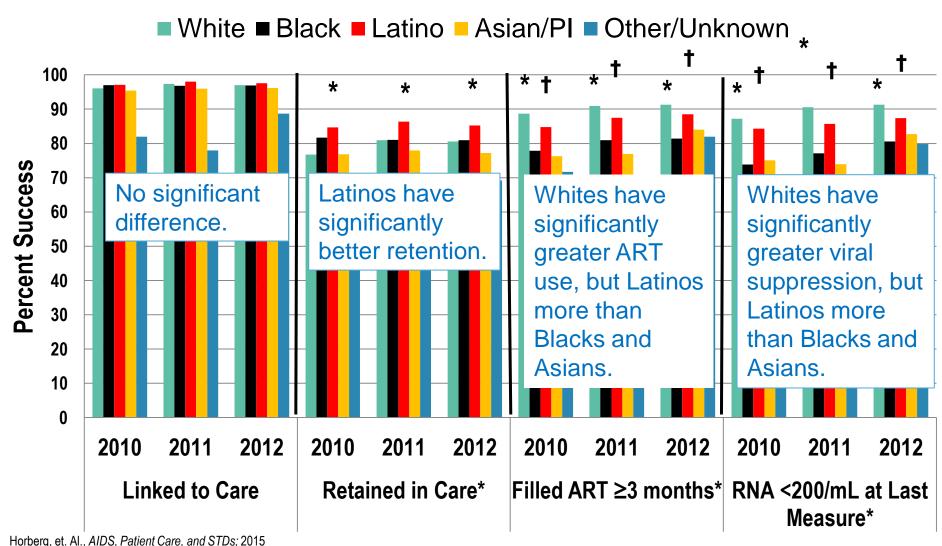


#### Can Stratify by Demographics: by Age

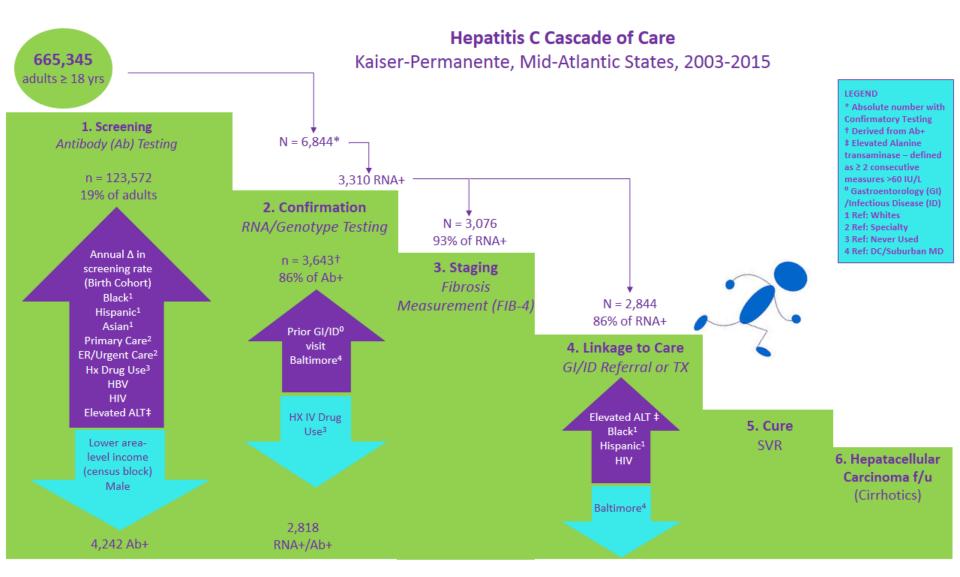


#### **HIV Care Cascade by Race/Ethnicity**

Significant (p<0.05): \*-for race/ethnicity as categorical variable or as sub-group compared to all other sub-groups; †-Latino compared with Black



#### **But Can Also Do for HCV—KPMAS Data**





#### For Screening: Hepatitis C in KPMAS

- >5,100 active members with HCV in 2015
  - >10,000 in recent past have had HCV (active or former KP members)
- <10% have ever been treated for HCV</p>
  - Fortunately, ~25-30% have cleared the virus (RNA -, don't need treatment)
- 40% have not had recent labs or been evaluated by GI
- We are now diagnosing about 90-100 new cases monthly with increased testing



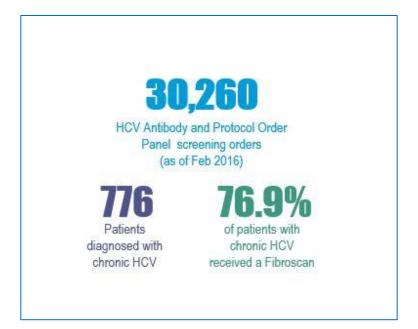


#### Hepatitis C Cascade of Care in KPMAS .HCVPATHWAY **HCV Care Coordinator** Communicates HCV diagnosis to patient Orders additional HCV Labs HCV RNA POSITIVE -or-At-Risk Born 1945-1965 and Fibroscan HCV RNA POSITIVE / HBs Ag POSITIVE Automatic Coordinator Offers: MD places 'HCV Antibody & Confirmation Tests Informational video Protocol Order Panel (no action needed): HCV Antibody Educational sheets (O210117)' or clicks BPA POSITIVE HCV RNA to initiate screening pathway HBsAg Learn More: .HCVPATHWAY HIV 1 & 2 Physician communicates HCV RNA negative results to patient, and HCV RNA NEGATIVE **HCV Antibody** counsels patient on risk reduction NEGATIVE HIV + .HCVABPOSVLNEG MD communicates HCV MD refers patient to Antibody negative results Infectious Disease<sup>1</sup> to patient - END END .HCVNEG **HCV Assessment Labs** HAV (hepatitis A) Total Treatment Screening Activity carried out by the HB sAb F2-4 Pathway Ends options **HCV Care Coordinator** GI REFERRAL HCV Genotype assessed (manage in GI) Hepatic Function Panel Activity carried out by the Coordinator communicates Ferritin ordering or consulting physician final results to patient. Iron, TIBC% saturation Patient referred based on Prothrombin with INR Fibrosis Stage: TSH Comorbidities, Abnormal Results, or >F2 stagen F0, F1, F2, F3, F4 CBC Creatinine Anti-mitochondria Health Maintenance F0-1 Anti-smooth muscle Alert for Annual Tests: PRIMARY CARE REFERRAL Treatment Fibroscan, CBC, Hepatic Anti-nuclear antibody-IFA Deferred Function Panel, Alpha-Fetoprotein Fibroscan <sup>1</sup>Infectious Disease physician completes HCV workup concurrent with HIV treatment

Clinical: Dr. Michael Horberg 301-852-9307 (cell) or the GI Chiefs Operational: Cabell Jonas, PhD 202-594-7836 (cell) Hepatitis C Care Coordinator: Linda Steeby 703-674-7684

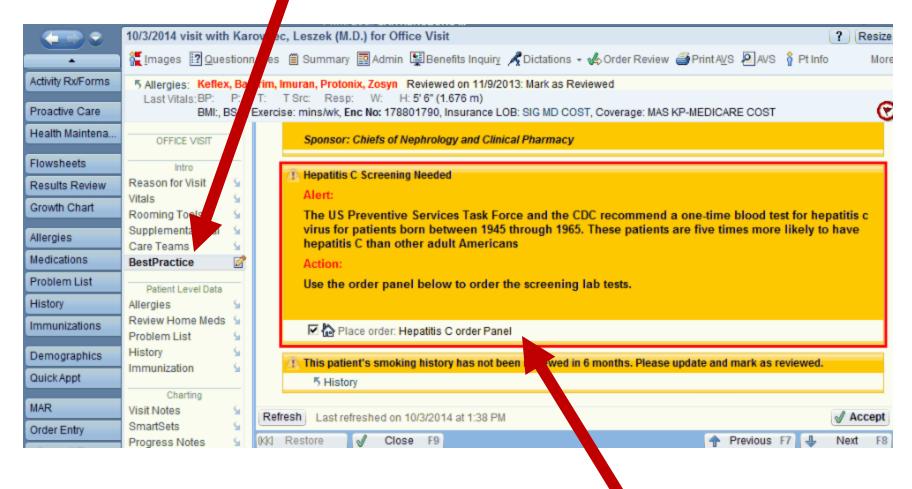
#### **Ordering the Pathway**

- ✓ Best Practice Alert for Baby Boomers
  - If your patient is a Baby Boomer and is eligible, order the new screening pathway by clicking the BPA and placing the order inside.
  - BPA fires in Adult Primary Care, GI, ID, and OBGYN
- ✓ Within the STI (sexually transmitted infections) Screening Order Set and Adult Health Assessment The new HCV screening pathway replaces the single HCV Ab test in these two Order Sets





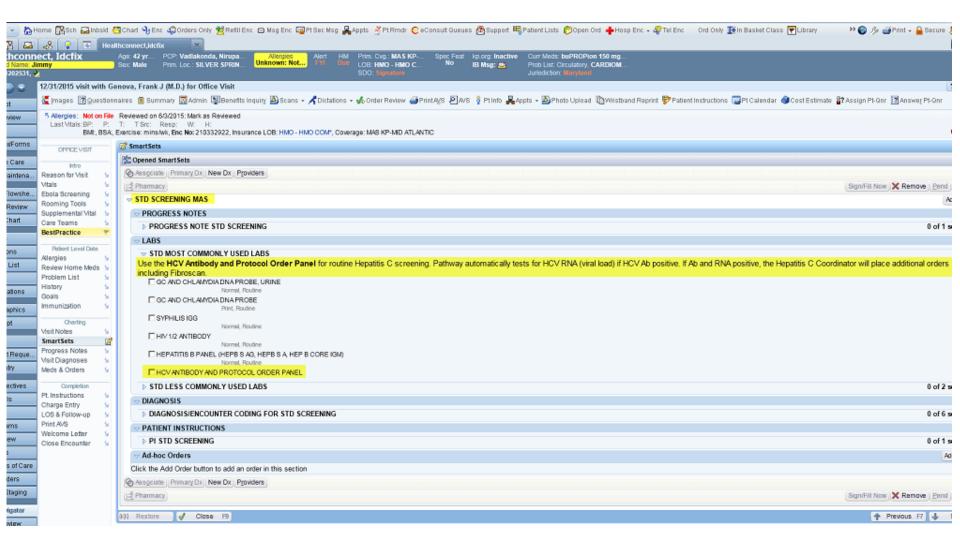
## Ordering for Baby Boomers – Preventive Screening: Click Visit Navigator → Best Practice at Left



Place the order

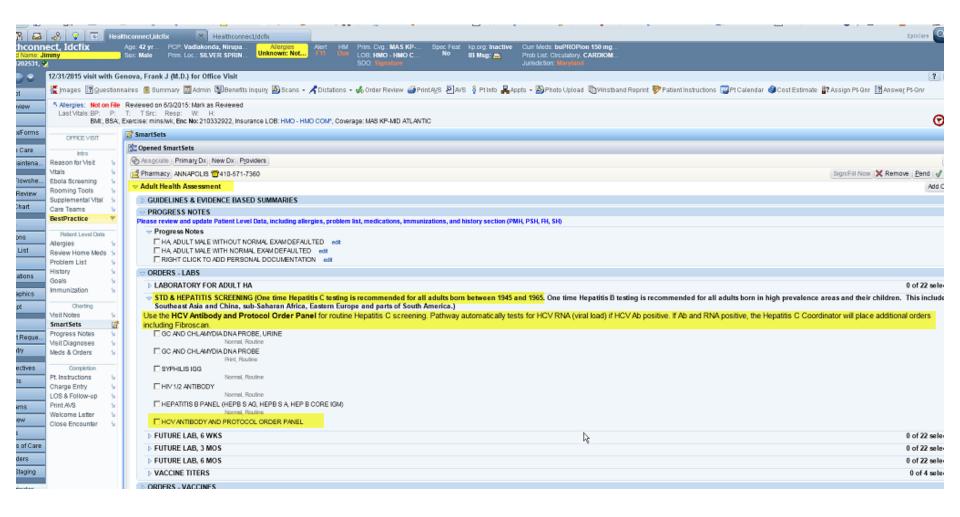


#### STI Screening Order Set



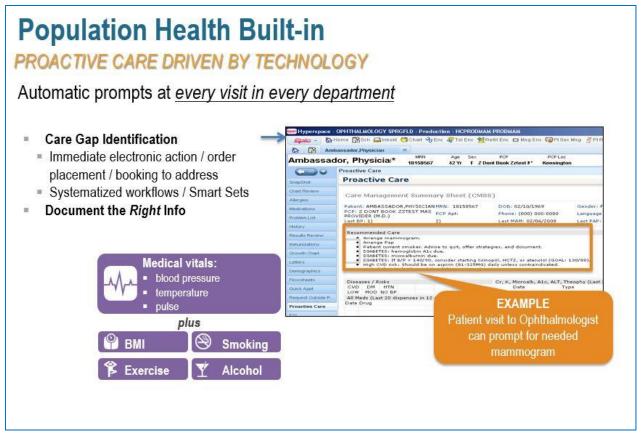


#### **Adult Health Assessment Order Set**





# The Next Frontier?—Putting the Data at the Provider's Fingertips --And Making Them Use It!

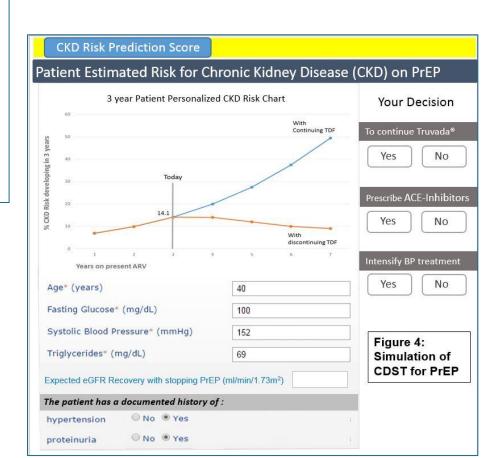


What if we did this for HIV?



#### **CKD Risk Prediction Score** Patient Estimated Risk for Chronic Kidney Disease (CKD) 5 year Patient Personalized CKD Risk Chart **Your Decision** Present ART To continue present ART Yes No Today Prescribe ACE-Inhibitors No Yes Kidney recovery with changed ART Intensify BP treatment Years on present ARV Yes No Age\* (years) 40 Fasting Glucose\* (mg/dL) 100 Systolic Blood Pressure\* (mmHg) 152 Triglycerides\* (mg/dL) 69 Figure 3: CD4 Cell Count\* (cells/mm3) 340 Simulation of Expected Recovery with ART Change (ml/min/1.73m<sup>2</sup>) **CDST for ART**

To Improve Kidney Health among Patients Using ART or PrEP



The patient has a documented history of:

hypertension proteinuria No Yes

○ No ● Yes

#### **Concluding Thoughts**

- Need to think about the back end as well as the front end of the EHR
- The EHR is a powerful tool
  - But need to know how to use it
- Data across systems is not only coming—it's here!
- HIPAA is not a small issue
- It has wide application for HIV and HCV
  - For screening, care improvement, quality measurement
- You need a Health Imformaticist for best results



"Working together, I am confident that we can stop the spread of HIV and ensure that those affected get the care and support they need."

-- President Barack Obama

Strive only for the best. Be proud. The great work continues. Thank you